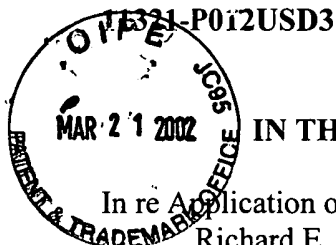


GA4/1754  
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Richard E. Smalley et al.

For: METHOD FOR FORMING AN ARRAY  
OF SINGLE-WALL CARBON  
NANOTUBES AND COMPOSITIONS  
Thereof

Atty Dkt: 11321-P012USD3

§ Serial No: 10/034,745  
§ (division of application  
§ Serial No. 09/380,545)  
§ Filed: December 28, 2001  
§ Group Art Unit: 1754 (anticipated)  
§ Prior Examiner: Stuart Hendrickson  
§ 703.308.2539

#4/B(Pe)  
5-1-02  
GB  
5-1-02  
L.B.

U.S. Patent and Trademark Office  
Washington, D.C. 20231

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<u>11 March 2002</u> Date	<u><i>Wanda Alexander Warren</i></u> Signature
<u>Wanda Alexander Warren</u> Printed Name	

SECOND PRELIMINARY AMENDMENT

Sir:

This paper supplements a Preliminary Amendment filed December 28, 2001 which accompanied a Request for Filing Divisional Application Under 37 C.F.R. § 1.53(b). Applicant believes no fee is due with the filing of this paper, however, if any fees are determined to be due, the Assistant Commissioner, Commissioner, and/or the Director of the U.S. Patent & Trademark Office is/are hereby authorized to charge any such fees to Winstead Sechrest & Minick Deposit Account No. 23-2426, referencing matter number 11321-P012USD3.

AMENDMENTS

In the Abstract

Please amend the abstract by replacing the present abstract with the following:

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MAR 25 2002  
TC 1700